

**苏黎世中国董监事及高级管理人员责任保险单臻选 2015 版附加
被保险个人定义修正条款**

兹经双方理解并同意本保险单**第三条定义**的第三十六条3.36以以下内容替换：

- 3.36 **被保险个人**包括以下任何人员：
- 3.36.1 **董事、监事或高级管理人员；**
 - 3.36.2 **外部机构高管；**
 - 3.36.3 担任**投保人**的风险管理人（或同等岗位）的**被保险公司**雇员；
 - 3.36.4 在**被保险公司**行使管理或监事职能的**被保险公司**雇员；及
 - 3.36.5 以其他身份（包括律师）代**被保险公司**处理 (i) 任何**证券类赔偿请求**或**雇佣行为赔偿请求**，或 (ii) 所有其他**赔偿请求**的**被保险公司**的雇员（**董事、监事或高级管理人员**除外）；但第 (ii) 项仅限同时针对**董事、监事或高级管理人员**提出并持续处于索赔状态的**赔偿请求**；
 - 3.36.6 证券事务代表和董事会秘书室总经理、副总经理。

尽管有前述规定，本保险单承保范围仅限于该**被保险个人**行使**被保险个人**职能时。

被保险个人不包括**被保险公司**的任何顾问、外部审计师、清算人、管理人或接管人（或任何其他司法管辖区的同等身份人员）。

本保险合同的所有其他条款、条件和限制维持不变。

Insured Person Definition Amendment

In consideration of the premium charged, it is hereby understood and agreed that 3.36 of **Section 3 Definition Insured Person(s)** of this Policy is deleted in its entirety and replaced by the following:

Insured Person(s) shall mean any:

- 3.36.1 **Director or Officer;**
- 3.36.2 **Outside Entity Executive;**
- 3.36.3 **Employee of the Company** who is the Risk Manager (or equivalent position) of the **Policyholder;**
- 3.36.4 **Employee of the Company** while acting in a managerial or supervisory capacity for the **Company;** and
- 3.36.5 **Employee of the Company**, other than a **Director or Officer**, in such capacity on behalf of the **Company** including whilst acting as a lawyer on behalf of the **Company:** (i) for any **Securities Claim** or **Employment Claim;** or (ii) for all other **Claims**, but with respect to (ii) only if and as long as such **Claim** is also made, and is continuously maintained, against a **Director or Officer.**
- 3.36.6 Securities representatives and President/Vice President(s) of Board of Committee Secretary Office.

But with regard to all of the foregoing, only when and to the extent that such **Insured Person** is acting in such **Insured Person** capacity.

Insured Persons shall not include any consultant, external auditor, or liquidator, administrator or receiver (or the equivalent in any other jurisdiction) of the **Company.**

SUBJECT OTHERWISE TO THE TERMS, EXCLUSIONS AND CONDITIONS OF THIS POLICY.