

苏黎世董监事及高级管理人员责任保险单臻选 2015 版
附加金融机构专业责任除外

双方理解并同意，**保险人**对任何针对**被保险人的**指称、起因于、基于或归因于**被保险**
公司或者任何**被保险个人**履行或者未能履行专业服务、或者任何相关的行为、错误或
者失误的任何**索赔**有关的**财务损失**不负赔偿责任，包括但不限于以如下身份提供的服
务：**证券经纪人、证券交易人、财务顾问、投资顾问、商业或投资银行、保险经纪人、**
保险代理人、保险公司、再保险公司、对冲基金、投资信托公司、资产管理公司、基
金管理人或者主要用来从事商品、期货或外汇交易的实体或类似实体；以及任何其他
专业服务。

本保险单的所有其他条款、除外责任和条件维持不变。

Financial Institutions Professional Indemnity Exclusion

It is hereby understood and agreed that the **Insurer** shall not be liable to make any payment for
Financial Loss in connection with any **Claim** made against any **Insured** alleging, arising out of,
based upon or attributable to the **Company's** or any **Insured's** performance of or failure to
perform professional services, or any act(s), error(s) or omission(s) relating thereto, including but
not limited to, services performed as a securities broker, securities dealer, financial advisor,
investment advisor, merchant or investment bank, insurance broker, insurance agent, insurance
company, reinsurance company, hedge fund, investment trust, asset management company, fund
manager; or services rendered in any entity established principally for the purpose of carrying on
commodities, futures or foreign exchange trading or any other similar entity; or any other
professional services.

ALL OTHER TERMS, CONDITIONS AND EXCLUSIONS REMAIN UNCHANGED.